



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Stephen T. Kuehn et al.

Appln. No. : 10/784,674

Filed : February 23, 2004

For : MITRAL AND TRICUSPID VALVE
REPAIR

Docket No.: S16.12-0135

Group Art Unit: 3738

Examiner: ---

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S.
MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS,
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

2 DAY OF October, 2006.
Hollie A. Finucane
PATENT ATTORNEY

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. X 1. Within three months of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

METHOD OF PAYMENT

 X No fee is required.

 Attached is a check in the amount of \$.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

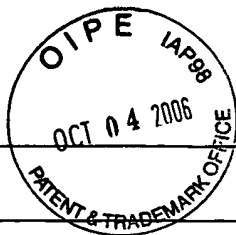
Respectfully submitted,

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FORM PTO-1449	Atty. Docket No.: S16.12-0135	Appl. No.: 10/784,674
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Stephen T. Kuehn et al.	
	Filing Date February 23, 2004	Group Art: 3738

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,601,572	2-11-97	Middleman et al.			
AB	3,916,908	11-4-75	Leveen			
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
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FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.